

PTO/SB/21 (12-97)
Approval for use through 9/30/00. OMB 0651-0031
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Receipt
#3

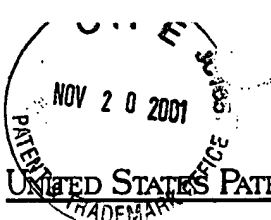
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/930,877	
	Filing Date	August 15, 2001	
	First Named Inventor	Zambrowicz	
	Group Art Unit	1646	
	Examiner Name	to be assigned	
Total Number of Pages in This Submission	11	Attorney Docket Number	LEX-0220-USA

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	return postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Executed Declaration
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request of Refund	Request for Corrected File Rec
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Copy of File Rec with Correction
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lance K. Ishimoto, Reg. No. 41,866 Lexicon Genetics Incorporated
Signature	Lance K. Ishimoto by David W. Hober DAVID W. HOBER REG. NO. 41,071
Date	November 13, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 13, 2001			
Typed or printed name	Michelle Klein		
Signature	Michelle Klein	Date	November 13, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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A3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,877	08/14/2001	Brian Zambrowicz	LEX-0220-USA

CONFIRMATION NO. 1813

24231
LEXICON GENETICS INCORPORATED
4000 RESEARCH FOREST DRIVE
THE WOODLANDS, TX 77381

FORMALITIES LETTER



OC000000006786932

Date Mailed: 09/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$135.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 555.

A copy of this notice MUST be returned with the reply.

Beth J. Bustin

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09930877

11/26/2001 ARDND4F1 00000003 500892

01 FC:201 370.00 CH
02 FC:205 65.00 CH
03 FC:204 140.00 CH



Atty Docket No.: LEX-0220-USA

TOTAL	\$575.00
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4. The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

The documents submitted herewith complete the filing of the above patent application. This form is being submitted in duplicate.

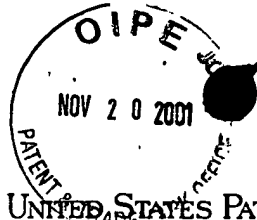
Respectfully submitted,

November 13, 2001
Date

Lance K. Ishimoto by David W. Habler DAVID W. HABLER
Lance K. Ishimoto Reg. No. 41,866 REG. NO. 41,071

LEXICON GENETICS INCORPORATED
4000 Research Forest Drive
The Woodlands, TX 77381
Telephone No.: (281) 863-3333

Enclosures



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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/930,877	08/14/2001 08/15/2001	1646	0.00	LEX-0220- USA		7	2

24231
 LEXICON GENETICS INCORPORATED
 4000 RESEARCH FOREST DRIVE
 THE WOODLANDS, TX 77381

CONFIRMATION NO. 1813

FILING RECEIPT



OC000000006786931

Date Mailed: 09/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Brian Zambrowicz, The Woodlands, TX;
 Arthur T. Sands, The Woodlands, TX;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/225,244 08/15/2000

Foreign Applications

If Required, Foreign Filing License Granted 09/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Arrayed collection of genomic clones

Preliminary Class

435

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OCT 01 2001

Per. *dt*POSTED
10/1/01 *dt*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE /

Applicant(s): Zambrowicz and Sands

Application No.: 09/930,877

Filed: August 15, 2001

Title: Arrayed Collection of Genomic Clones

Attorney Docket No.: LEX-0220-USA

Group Art Unit: 1646

Examiner: To Be Assigned

DEC 12
TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

Attn: Application Processing Division's Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-captioned nonprovisional application, as corrected herein by Applicants. The filing receipt and the PTO sticker on the Return Postcard incorrectly state the File Date. Please correct the Filing Receipt to read as follows under the File Date heading:

~~08/15/01~~

Please make the appropriate corrections and issue a corrected Filing Receipt.

Applicants believe that no fee is due with this Request for Correction of Filing Receipt because the incorrect file date reflects a PTO error. However, please charge any required fee or credit any refund to Lexicon Genetics Incorporated Deposit Account No. 50-0892. A copy of this sheet is enclosed.

Respectfully submitted,

11/13/01
Date

Lance K. Ishimoto by David W. Hibler DAVID W. HIBLER
Lance K. Ishimoto Reg. No. 41866 REG. NO. 41,871

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